

**Clinical Commissioning Group Governing Body**  
**Paper Summary Sheet**  
**Date of Meeting: 22 March 2016**

For: PUBLIC session  PRIVATE Session

For: Decision  Discussion  Noting

<b>Agenda Item and title:</b>	<b>GOV/16/03/11 Local Offer for Personal Health Budgets</b>
<b>Author:</b>	Shelley Watson – CCG Commissioning Manager Georgina Ruddle – CCG Commissioning Manager
<b>Lead Director/GP from CCG:</b>	Ted Wilson - Group Director - N&E Wiltshire Group
<b>Executive summary:</b>	<p>NHS England (NHSE) requires CCGs by 31st March 2016 to develop a Local Offer document which shows a commitment by the CCG to expand the number of personal health budgets (PHBs) available to the population of Wiltshire and to publish their offer.</p> <p>An objective within the NHS Planning Guidance is that 50-100,000 people nationally should have a PHB by 2020.</p> <p>Currently the CCG provides a number of PHBs to NHS continuing health care (CHC) including children’s continuing care.</p> <p>The attached document is the Local Offer that the CCG intends to submit to NHSE and which it intends to publish on the CCG Internet site. The offer has been written based on suggested key headings provided by NHSE.</p> <p>During 16/17 the CCG aims to implement between 15-25 PHBs on a test and learn basis for patients with severe mental health, long term conditions or people with learning disabilities and or autism. Work is required to develop the process/infrastructure for expanding PHBs and therefore regular ongoing updates will be received by Governing Body.</p> <p>Governing Body is requested to review, consider and approve the attached Local Offer which will</p>

	<p>be submitted to NHSE and published on the CCG Internet site.</p> <p>A personal health budget (PHB) is an amount of money to support a person’s identified health and wellbeing needs, planned and agreed between the person and their local NHS team.</p> <p>At the centre of the personal health budget is a personalised care and support plan. This sets out the health and wellbeing outcomes that the patient wants to achieve. Further information can be found in the attached Local Offer.</p> <p>Forward View into action: Planning for 2015/16 states “To give patients more direct control, we expect CCGs to lead a major expansion in the offer and delivery of personal health budgets to people, where evidence indicates they could benefit.</p> <p>The Integrated Personal Commissioning Programme (IPC) was launched by Simon Stevens in 2014. Further information can be found in the attached Local Offer.</p> <p>As a result of the IPC programme the South West Clinical Network (SWSCN) agreed to be a facilitator for CCGs and LAs in the South West to pilot the expansion of personal health budgets. All CCGs and Local Authorities have committed to be part of the IPC.</p> <p>The CCG will continue to work with the IPC during 16/17</p>
<p><b>Evidence in support of arguments:</b></p>	<p>Personal Health Budgets supports shared decision-making and self-management support, and ensures that patients are offered choice and control to meet their health and wellbeing needs. National evaluation of Personal Health Budgets has identified better experience for patients and real changes in self-care behaviour; improved knowledge and skills for professionals; greater job satisfaction, better organisation and team work, and improved productivity. Personal health budgets were piloted between 2009 and 2012 by over 60 primary care trusts. People offered budgets in the pilot included those receiving NHS Continuing Healthcare, people using mental health services and people with a range of long-term conditions. The evaluation showed that personal health budgets improve care-related outcomes (such as being able to carry out activities of daily living and feeling in control) and psychological well-being. In the national personal budget survey, over 70% of people taking part said that having a personal health budget has had a positive impact on their independence</p>
<p><b>Who has been involved/contributed:</b></p>	<p>Julia Cramp Associate Director (joint with CCG) Commissioning, Performance and School Effectiveness Children's Services</p>

<b>Cross Reference to Strategic Objectives:</b>	The Local Offer encourages and support Wiltshire residents to take on more responsibility for their own health and wellbeing
<b>Engagement and Involvement:</b>	This document will be reviewed by Joint Commissioning Board and the Health and Wellbeing Board. During 16/17 a Communications and Engagement Plan will be developed to support the CCGs strategic intentions and implementation of PHBs and part of this will include patient engagement.
<b>Communications Issues:</b>	The document will once approved be made available on the CCG Internet site
<b>Financial Implications:</b>	There is a potential for significant financial implications. Work carried out during 16/17 will aim to quantify this.
<b>Review arrangements:</b>	The document will be updated in March 2017 following an evaluation
<b>Risk Management:</b>	There is a potential for significant financial and resource implications. Most community health contracts in Wiltshire are currently commissioned on a block contract, and therefore funding cannot be released from these contracts to finance PHBs.
<b>National Policy/ Legislation:</b>	Five Year Forward View Forward View Planning guidance
<b>Public Health Implications:</b>	None identified
<b>Equality &amp; Diversity:</b>	The completed WCCG Equalities Impact Analysis document accompanies this summary paper.
<b>Other External Assessment:</b>	There has been no other external assessment of this offer to date.
<b>What specific action re. the paper do you wish the Governing Body to take at the meeting?</b>	<b>Decision Paper</b> – Governing Body is requested to review, consider and approve the attached Local Offer which will be submitted to NHSE and published on the CCG Internet site.

## **Wiltshire CCG Personal Health Budgets: Local Offer**

### **What is a Personal Health Budget?**

A personal health budget (PHB) is an amount of money to support a person's identified health and wellbeing needs, planned and agreed between the person and their local NHS team.

At the centre of the personal health budget is a personalised care and support plan. This sets out the health and wellbeing outcomes that the patient wants to achieve as agreed by both the person and their local NHS team, and how the personal health budget will be spent to help the individual.

### **Co-production and Personal Health Budgets**

Personal health budgets aim to enable people to proactively manage their health; a process known as co-production, which is where the individual and health professional(s) work together as equal partners in deciding how to achieve personal goals.

The process of developing a personalised care plan may lead to people utilising existing services which are already in place and can meet their needs, or it may result in using personal health budgets in innovative ways to meet their health care needs.

At the core of a PHB is a person led care and support plan, agreed between the NHS and the budget holder, which considers the holistic needs of individuals, viewing these in the context of their broader lives.

### **More choice and control**

The aim of a personal health budget is to give individuals more choice and control over the money spent on meeting their health and wellbeing needs.

Personal Health budgets support the vision of a more personalised, patient-focused NHS.

### **What can a PHB be spent on?**

A personal health budget can be spent on care or services that are set out in the person led care and support plan that has been put together with the NHS team or voluntary organisation working with the person - and this needs to be agreed by the CCG

What is doesn't cover

There are things that it would not be right for the Government to fund such as:

- Alcohol
- Tobacco
- Gambling
- Debt repayment
- Anything that is illegal

A personal health budget cannot be used to buy emergency care; for example if someone in receipt of a personal health budget had an accident, they would go to A&E to seek assessment and any required treatment. This treatment is already funded through other contracts.

A personal health budget cannot be used to purchase primary medical services provided by GPs, as part of their primary medical services contractual terms and conditions nor is it suitable for the following public health services:

- Vaccination or immunisation, including population-wide immunisation programmes.
- Screening,
- The national child measurement programme
- NHS Health Checks

### **Who can have a personal health budget?**

From 2014, patients eligible for NHS continuing health care (CHC) (including children's continuing care) had the right to request a personal health budget.

From the 1<sup>st</sup> of April 2015 those with a long term condition (including mental health conditions; aged 18+) have also had the right to request a personal health budget.

### **Children & young people**

Wiltshire CCG is currently providing a small number of PHBs to children who are eligible for children's continuing care and who are in receipt of Direct Payments from Children's Social Care. The CCG and Local Authority work together to make this a straight forward, streamlined process for parents carers. The Council takes the lead in setting up the Direct Payment/Personal Health Budget as all the children eligible for children's continuing care are also supported by the Council's SEN and Disability Service. The CCG and the Council work with Wiltshire Parent Carer Council to promote personalisation, including Personal Health Budgets (WPCC is a parent-led organisation with 1,700 members who are all parents of children with a special educational need/disability). A significant percentage of WPCC's membership will have children with Education, Health and Care Plans under the SEND reforms contained within the Children and Families Act 2014.

### **What is changing?**

The developments relating to personal health budgets mean that all patients in the above categories will be able to request a personal health budget. Wiltshire CCG will implement a phased rollout of PHBs on a test and learn basis.

During 2016 and 2017 Wiltshire CCG will be taking part in the Integrated Personal Commissioning collaborative programme led by NHS England (see more details below). This programme will support the provision of between 15-25 personal health budgets to individuals with the highest complex needs for those with severe mental health condition, long-term health conditions, in addition it will include people with learning disabilities and or Autism ; eligibility criteria and further details will be developed and published on this website page as the programme progresses.

The CCG is undertaking a detailed analysis to understand how it can support patients to take greater control of their own care and in the future hopes to increase the numbers of patients with their own personal health budgets. Further details relating to this will be provided as the work relating to personal health budget delivery progressing during 2016/2017.

Wiltshire CCG aim to support every patient and, whilst we are not always able to provide them with a personal health budget which results in a direct payment; NHS professionals can work with them to identify ways to create a personalised care plan, putting them at the heart of their own healthcare decision making.

A goal detailed within the NHS Planning Guidance is that 50-100,000 people nationally should have PHB by 2020.

## **Integrated Personal Commissioning Programme**

Integrated Personal Commissioning (IPC) is a way for the NHS to offer person led care and support beyond Continuing Healthcare to a variety of individuals who could benefit. IPC is a new approach to person-centred health and social care that endeavours to put people in control, to enable them to live well at home and to encourage integration at an individual level. Personalised care planning, at the heart of the IPC programme, involves joint-working between individuals, families and professionals to set personalised health outcomes and to shape services around people. The broad prospectus of IPC is to shift focus from 'what's the matter with you' to 'what matters to you'.

Wiltshire CCG has become part of the South West Integrated Personalised Commissioning Programme, launched in the Five Year Forward View.

The aims of this programme are:

- To support people with complex needs and their carers to have a better quality of life and to achieve the outcomes that are important to them and their families
- To prevent crises in people's lives that lead to unplanned hospital admissions.
- To encourage better integration and quality of care

The programme is aimed at groups of individuals who have high levels of need across both health and social care. A personalised approach would address acknowledged problems in current care provision, help prevent people from becoming more unwell, and enable people to retain their independence.

Including:

- Children and young people with complex needs, including those eligible for Education, Health and Care (EHC) plans
- People with multiple long-term conditions, particularly older people with frailty
- People with learning disabilities and or autism with high support needs, including those who are in institutional settings or at risk of placement
- People with significant mental health needs, like those eligible for the Care Programme Approach or who use significant amounts of unplanned care

Integrated Personal Commissioning is based on two core elements:

- Care Model: Person-centred care and care planning, combined with an optional personal health and social care budget
- Financial Model: An integrated "year of care" capitated payment model

## **Partnership working**

The new IPC programme builds on existing initiatives, for example Personal Budgets, Better Care Fund, The Special Educational Needs and Disability (SEND), and has a number of key roles for voluntary and community sector organisations.

Personal Budgets are at the heart of the post Winterbourne View Transforming Care agenda. The new Wiltshire and Swindon Transforming Care Partnership Service Model plan has clear focus on personalisation. A clear goal and priority will be the roll out of personal health budgets for people with Learning Disabilities and or Autism who have the most complex needs.

## **Local and regional Implementation**

Organisations taking part in this new approach will implement between 15-25 personal health budgets to gather information on what works well with the lessons being shared across the region. Over the next 18 months the expansion of Personal Health Budgets and integrated budgets will progress in a careful and phased way. Wiltshire CCG will provide further specific details about the cohorts it will be able to provide personal health budgets for during 2016/2017 as we progress with the pilot.

As outlined above, Wiltshire CCG will extend Personal Health Budgets to individuals in a planned way to ensure that learning is captured. The CCG will be in a better position to describe plans for 2017/18 and as such this report will be refreshed by March 2017 to include learning from early implementation, progress to date and an update on the CCG's local offer.

## **I am interested in a PHB – what should I do?**

If you are interested in a PHB for CHC or children/young people the first step is to talk to your NHS professional about your request.

Further details will be developed and published on this website page as the Wiltshire CCG PHB IPC programme progresses throughout 2016/2017.

## **Useful Documents:**

- NHS England's website has more information and advice about the new scheme <https://www.england.nhs.uk/healthbudgets/>
- NHS Choices website <http://www.nhs.uk/choiceintheNHS/Yourchoices/personal-health-budgets/Pages/about-personal-health-budgets.aspx>
- People Hub <http://www.peoplehub.org.uk/>

**Equality Impact Analysis – the EIA form**

Title of the paper or Scheme: Local Offer for Personal Health Budgets

<b>For the record</b>	
Name of person leading this EIA: Shelley Watson	Date completed; 03/03/2016
Names of people involved in consideration of impact: Ted Wilson	
Name of director signing EIA – Ted Wilson	Date signed – 10/03/2016

What is the proposal? What outcomes/benefits are you hoping to achieve?

NHS England (NHSE) requires CCGs by 31st March 2016 to develop a Local Offer document which shows a commitment by the CCG to expand the number of personal health budgets (PHBs) available to the population of Wiltshire and to publish their offer.

Currently the CCG provides a number of PHBs to NHS continuing health care (CHC) including children's continuing care.

A personal health budget (PHB) is an amount of money to support a person's identified health and wellbeing needs, planned and agreed between the person and their local NHS team. At the centre of the personal health budget is a personalised care and support plan. This sets out the health and wellbeing outcomes that the patient wants to achieve.

During 16/17 the CCG aims to implement between 15-25 PHBs on a test and learn basis for patients with severe mental health, long term conditions or people with learning disabilities and or autism. Work is required to develop the process/infrastructure for expanding PHBs and therefore regular ongoing updates will be received by Governing Body.

Personal Health Budgets supports shared decision-making and self-management support, and ensures that patients are offered choice and control to meet their health and wellbeing needs. National evaluation of Personal Health Budgets has identified better experience for patients and real changes in self-care behaviour; improved knowledge and skills for professionals; greater job satisfaction, better organisation and team work, and improved productivity.

The Integrated Personal Commissioning Programme (IPC) was launched by Simon Stevens in 2014.

As a result of the IPC programme the South West Clinical Network (SWSCN) agreed to be a facilitator for CCGs and LAs in the South West to pilot the expansion of personal health budgets. All CCGs and Local Authorities have committed to be part of the IPC.

The CCG will continue to work with the IPC during 16/17

Who's it for?

NHS Continuing health care (CHC) including children's continuing care. During 16/17 pilots will be undertaken for patients with Mental Health needs, Long Term Conditions or people with Learning Disabilities and or Autism. Specific detail about the cohorts has as yet not been identified and will be determined during 2016/17.

How will this proposal meet the equality duties?

Wiltshire Clinical commissioning group has a duty to promote equality of opportunity, promote good relations, promote positive attitudes and eliminate unlawful discrimination.

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What are the barriers to meeting this potential?

Block contracts with community providers and potential constrained budgets

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## 2 Who's using it?

Refer to equality groups

What data/evidence do you have about who is or could be affected (e.g. equality monitoring, customer feedback, current service use, national/regional/local trends)?

Further detailed analysis is required during 2016/17 to understand which patient cohorts could be affected

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How can you involve your customers in developing the proposal?

Patients will be involved during 16/17 in the detailed analysis on a test and learn basis

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Who is missing? Do you need to fill any gaps in your data? (pause EIA if necessary)

No Gaps currently identified

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## 3 Impact

Refer to dimensions of equality and equality groups

Show consideration of: age, disability, sex, transgender, marriage/civil partnership, maternity/pregnancy, race, religion/belief, sexual orientation and if appropriate: financial economic status, homelessness, political view

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Using the information in parts 1 & 2 does the proposal:

**a)** Create an adverse impact which may affect some groups or individuals. Is it clear what this is? How can this be mitigated or justified?

Currently the CCG only provides PHBs for NHS Continuing health care (CHC) including children's continuing care. A small number of PHBs will be offered in 16/17 for mental health conditions, long term conditions and learning disabilities, the detailed patient cohorts are yet to be defined. Whilst the CCG aims to support every patient, we are not always able to provide them with a PHB resulting in a direct payment, particularly during the test and learn period.

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What can be done to change this impact?

Currently no further action is required

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**b)** Create benefit for a particular group. Is it clear what this is? Can you maximise the benefits for other groups?

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Work is required during 16/17 to define patient groups.

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Does further consultation need to be done? How will assumptions made in this Analysis be tested?

On-going engagement will take place during 2016/17 with stakeholders including healthwatch. A communications plan will be developed and patients will also be involved in the detailed analysis during the phased roll out.

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## 4 So what?

Link to business planning process

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What changes have you made in the course of this EIA?

None

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What will you do now and what will be included in future planning?

CCG will commence the phased roll out on a test and learn basis.

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When will this be reviewed?

The Equality Impact Assessment document is a working document which will be updated once the Local Offer is reviewed in March 2017.

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How will success be measured?

We will carry out a detailed analysis of the PHBs implemented during 2016/17